Subject: Supply of information under Right to Information Act, 2005.

Whereas Sh. Kumar V has made a request in writing vide his application Regd. No.EPFOG/R/2019/54741 dated 28.03.2019 for obtaining information under Section 6(1) of RTI Act, 2005.

2. And whereas under Section 6(3) of Right to Information Act it has been provided that

"Where an application is made to a public authority requesting for an information:-

i. Which is held by another public authority; or
ii. The subject matter of which is more closely connected with the functions of another public authority.

the public authority, to which such application is made, shall transfer the application or such part of it as may be appropriate to that other public authority and inform the applicant immediately about such transfer”.

3. Whereas on scrutiny of the application it is found that the Information sought by the applicant on the following items:

i. Has there any action taken on Provident Funds which are engaging those parties for only Investment advisory Services which parties are having registration under “SEBI (Portfolio Managers) Regulations, 1993” and not under “SEBI (Investment Advisers) Regulations 2013”? 

ii. Has there any action / reporting done on parties who are providing only Investment Advisory Services having registered under “SEBI (Portfolio
iii. In case of negative to both above queries, Does EPFO is of the opinion that Only Investment Advisory Services can be provided by a party without getting registered under SEI (Investment Advisers) Regulations 2013.

is closely related to and held by CPIO, (All Regional Office). The undersigned invokes the provisions of section 5(4) of the said Act and transfer the application to furnish the information/documents sought by the applicant under the Act within 7 days of the receipt of this communication directly to the applicant under intimation to the undersigned. You may please note that any delay in furnishing the information/documents sought for within the stipulated time limit will attract the penal provision as provided for under Section 20 of the Right to Information Act.

4. This may please be treated as most urgent.

(Saurabh Jagati)
Regional P.F. Commissioner-I (IMC)

To

1. CPIO-All Regional Offices,

Copy to:

Shri Kumar V.